

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: TABAR, RONALD J.
Application No.: 10/691981 Confirmation No.: 2467
Filed: October 23, 2003
Title: METHODS OF MAKING HIGH GAIN OPTICAL DEVICES HAVING A
CONTINUOUS AND DISPERSIVE PHASE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

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☒ transmitted to United States Patent and Trademark Office on the date shown below via the Office electronic filing system.

July 2, 2007 /Shannon M. Bruce/
Date Signed by: Shannon M. Bruce

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Fees

- ☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
☐ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
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Certification Under 37 § CFR 1.97(e)(1)

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

July 2, 2007

Date

By: /Robert J. Pechman/

Robert J. Pechman, Reg. No.: 45,002

Telephone No.: 651-737-0631

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/691981

Filing Date

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First Named Inventor

Tabar, Ronald J.

Confirmation Number

2467

Attorney Case Number

55343US007

U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | Doc. Number-(Kind Code if Known) | | | |
| | A1 | US- 4,533,308 | 08/06/1985 | Cloeren | |
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Foreign Patent Documents

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| | | Ctry. Code | Number-KindCode (if known) | | | | |
| | B1 | WO | 99/006203 | 02/11/1999 | | | |
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| | B5 | | | | | | |
| | B6 | | | | | | |
| | B7 | | | | | | |

OTHER DOCUMENTS

| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation (Check if yes) |
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*Examiner:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.